Solving Problems Using TOP-TRIZ

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Abstract

The author describes two cases he solved for his customers and techniques used in these TRIZ applications.

One of the described problems took a team six months until it decided to look for a help. Using TRIZ, the problem was solved in 15 minutes. Another problem delayed new product development for more than a year. It was solved at the first day of TRIZ facilitation.

The paper describes the methods used in the cases including the author's Tool-Object-Product (TOP) Function Modeling, some Standard Techniques and Conflict Solving Algorithm.

The paper also describes author's experience in teaching TOP-TRIZ.

What is TOP-TRIZ?

TOP-TRIZ is a further development of Classical TRIZ. It includes further development of problem formulation and problem modeling, Standard Solutions, ARIZ and Laws of Evolution of Technical Systems. It has integrated TRIZ methods into a user friendly system of analytical thinking.

Classical TRIZ

Genrich S. Altshuller, the creator of the Theory of Inventive Problem Solving, stopped development of TRIZ Methods to solve technical problems in 1985. His last version of TRIZ known as Classical TRIZ. It includes the following methods.

- Substance-Field Analysis
- 76 Standard Solutions
- Algorithm for Inventive Problem Solving (ARIZ)
- Laws of Evolution of Technical Systems

Some people include also 40 Inventive Principles and Contradiction Matrix, the earliest

version of TRIZ, even though Altshuller did not mention them in his last technical book titled *To Find an Idea*.

Classical Substance-Field Models

Altshuller's Substance-Field model of the simplest system is composed of three elements — the two substances and a field.



Figure 1: Models of the simplest useful system



Figure 2: Model of the simplest system having a harmful action

Substance-Field Models describe models of the systems rather than functions.

Tool-Object-Product (TOP) Function Analysis

Tool-Object-Product (TOP) Analysis, the next generation of Substance-Field Analysis, was developed by Zinovy Royzen in 1989. The simplest useful function has four components. It has the tool of the function (or the function provider), the object of the function (or recipient of the action of the tool), the action of the tool at the object, and one more component — the product of the function. The useful function of the tool is to obtain the product of the function from the object. The action is described by one arrow, which simplifies the model.

т <u></u>	\rightarrow 0 \Rightarrow U.P.
O	The object of the useful action
T	The tool of the useful action
F (Field)	Energy or force, or description of the useful action
U.P.	A useful product.

Figure 3. TOP model of a useful function

TF	$= 0 \Rightarrow \mathbf{H}.\mathbf{P}.$
O	The object the harmful action
T	The tool of the harmful action
F (Field)	Energy or force, or description of the harmful action
H.P.	A harmful (unwanted) product or products

Figure 4: TOP model of a harmful function

Very often a useful action also causes an unwanted effect, or an attempt to improve a function leads to deterioration in another function of the system. Conflicts are the most difficult type of problem in innovation. TRIZ offers models to describe any type of conflict.



Figure 5: TOP model of a conflict

Modeling a function by describing all four components — the tool, the object, the action, and the product — improves understanding of both the function and the best ways for its improvement.

Advantages of TOP Function Modeling:

• Universal Model of a Function

Neither the tool of the function nor the object of the function has to be a substance. TOP Function Modeling allows you to model any function in any system. It is a more generic way to model a function than Substance-Field Modeling.

• Complete Description of a Function

Desired and unwanted products of the functions of a modeled system improve understanding of the system and simplify analysis of the system resources.

• Link Between Functions

Introducing the product of a function into its model provides a very convenient and understandable link between functions. For example, a product of the first function can be a tool or an object of a subsequent function.

The link between functions is important in understanding not only a desired performance of a product, but also the chain of unwanted functions. Links between functions simplify cause-effect analysis and improve the process of revealing the cause of a current or potential failure of a product.

• Increasing Effectiveness of Function Analysis

Function analysis guides you in decomposing the performance of your product into single functions — both useful and unwanted. The system approach guides you in describing the function of the supersystem of your product and interactions between the product and its supersystem. It also guides you in analyzing and describing interactions between the product and its surroundings that are not part of the supersystem. Then a single function can be considered separately if it needs improvement.

Function modeling helps you to understand the system's performance, state the set of problems to consider, classify the problems, and determine the TRIZ Methods to be applied according to the TOP-TRIZ Flow Chart.

TOP-TRIZ Flow Chart



Ideal Ways is an analytical method made up of the ideal directions for improving a function. It is a part of problem formulation. Ideal Way 1, for example, guides you in stating problems related to the possibility of elimination of the function and its tool. The TOP model of the analyzed useful function provides the possible ways — eliminating the need for the product of the function or eliminating the object of the function.

Standard Techniques

TRIZ Standard Techniques is a further improvement of Standard Solutions, developed by Zinovy Royzen. Standard Techniques are step-by-step guides for applying generic solutions to your problem and developing specific solutions by utilizing the resources of the system, its supersystem, and its environment.

Integration of TRIZ Methods allowed separating of all Standard Solutions based on applications of Laws of Evolution into separate class called Technological Forecast in the TRIZ Flow Chart.

Integration of TRIZ Methods allowed simplifying a TRIZ method to reveal the causes of a failure so that the method was included it in Standard Techniques.

Sub-classes of Standard Solutions of Class 1 were separated to simplify problem classification and determination of the corresponding Standard Solutions.

Some Standard Solutions were reformulated. Some new Standards for eliminating harmful functions were added.

Standards solutions were supplied with step-by-step checklists.

Standard Techniques for eliminating harmful functions include the following.

Direct Ways

Six Direct Ways provide a set of generic techniques for preventing an unwanted function from producing its unwanted product.

Indirect Ways

Indirect Ways is a set of techniques for bypassing an original problem.

- Techniques for removal of the tool of a harmful function
- Techniques for removal of object of a harmful function
- Technique for elimination the cause of a harmful function
- Techniques for elimination of the consequences of a harmful function
- Techniques for converting a harmful function into a useful function

Further Development of ARIZ

Integration of ARIZ and initial function analysis of a system has improved conflict definition and eliminated repetition. TOP function modeling improves understanding of the conflict, its opposite versions, the function of X-resource and its product. One of the most difficult steps in ARIZ – formulation of the physical contradiction — is simplified

significantly. Techniques for Physical contradiction separation are reformulated and an additional technique was added.

Integration of TRIZ Methods allowed reducing the number of steps in ARIZ and improving its effectiveness.

Case 1. Plating Steel Rods and Pipes with Aluminum

Background of the problem

An old system for plating steel rods and pipes with aluminum included a well in the ground of a shop filled with molten aluminum at 700-740 C and a conveyer moving steel pipes and rods.

Preheated pipes and rods were submersed into molten aluminum for a short period of time and then removed with a coat of aluminum which protects steel pipes and rods against corrosion.



Figure 6: Old process of plating steel rods and pipes with aluminum

A new system for plating steel rods and pipe with aluminum was developed in order to increase the rate of production.

The new system includes a tube and two pumps utilizing the magnetohydrodynamic (MHD) phenomenon. When a magnetic field and an electric current intersect in a liquid, their repulsive interaction propels the liquid in a direction perpendicular to both the field and the current.

The pumps keep molten aluminum in the tube, and a rod passing through the tube is plated with aluminum.



Figure 7: Plating steel rods

The test of the new system was a success for plating rods.

Strait line motion of the rods increased productivity of the process. In addition, the new system removed excess of aluminum, decreased loss of aluminum due to oxidation, decreased energy loss and improved the shop environment.

However, there was a problem with plating pipes. High temperature (700-740 C) molten aluminum was pumped out of the tube through the pipe inserted in the tube.

The cause of the failure was understood. A steel (ferromagnetic) pipe does not allow a magnetic field to pass through it, thus there are no forces to keep molten aluminum inside.



Figure 8: Plating steel pipes

The pipes have to be plated outside and inside.

After working on the problem for a half a year, Dr. Verdirevsky who led the development of the system in Moscow called to the author with invitation to help him and his team.

He explained the problem and ideas his team had developed. Some of ideas related to collecting aluminum from the pipe and returning it back to the system. Other ideas suggested sealing the pipes for plating the outside surface and different methods of plating the inside of the pipes.





TOP Function Modeling

Pump 2 pumps molten aluminum out from the pipe.



The harmful function is caused by disabling the pump 1 to hold aluminum.



Pump 1 is unable to hold aluminum because a steel pipe blocks magnetic field.

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Steel Pipe Magnetic Field ⇒ Magnetic Field (H.P.)
Blocked
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According to Altshuller, Substance-Field model has to have two substances and a field. This approach limits function modeling.

TOP Function Modeling can be used to describe any function.

From TOP Function Modeling became the root cause of the problem.

Blocks Steel Pipe → Magnetic Field ⇒ Magnetic Field (H.P.) Blocked

It is a harmful function and we need to apply techniques for harmful function elimination.

In classical TRIZ, Altshuller combined his standard solutions to build Su-Field Models and to destroy Su-Field Models in one class of Standard which complicate the flow chart of using standards.

Class 1. Building and Destroying Substance-Field Models

The class offers

- 1.1 Building a Su-Field Models
- 1.2 Destroying Su-Field Models
- 1.2.1 Elimination Harmful Interaction by Introducing S3
- 1.2.2. Elimination Harmful Interaction by Introducing Modified S1 and/or S2.
- 12.3. "Drawing Off" a Harmful Action
- 1.2.4 Counteracting a Harmful Function with F2
- 1.2.5 "Switching Off" a Magnetic Influence

Steel Pipe Magnetic Field Magnetic Field (H.P.) Blocked

Direct Ways

Problem There is a harmful action on an object O T - F - O	Solution Eliminate the harmful action	Description
	T − 0 S _x =?	Insulate O from the harmful action by a substance-insulator S_x .
	T → F F _X O F _X =?	Counteract the harmful action with the opposing field F_X .
	T F O S _X =? → S _X	Protect O from the harmful action by a safety substance S_X which attracts the harmful action on itself.
	T m O T _m =?	Modify the tool (source) of the harmful action T to turn off the harmful action.
		It was recommended to heat pipe over its Curie temperature which is 770 C.
	T O m O m =?	Modify O to be non sensitive to the harmful action.
	T	Alter the amount of the zone of the harmful action, its duration or both to decrease the harmful action or eliminate it completely.

Case 2. Water Bottle Cap Problem

A water bottle cap which avoid the need for manual positioning of the valve and which permits dispensing water by application of suction, should open easily at suction pressures less than -0.38 psi.

The problem is that under normal use, sometimes internal container water pressure can be greater than 0.38 psi, and thus the valve can leak water.

Also, water has to be released by squeezing the bottle with pressure applied to the valve not less than, for example, 1 psi.

What should be done?



Figure 10: Water bottle cap with a flexible membrane

Initial Situation

- 1. The purpose of the system is to seal water in the bottle and release water by sucking.
- 2. The objective of the project is to design a closure that meets the following requirements.
 - One hand bottle use
 - Opening the valve by sucking with ΔP not more than 0.38 psi
 - Dispensing water by squeezing the bottle.
 - No leakage
- 3. Current problem. Valve designed for easy opening by sucking leaks under normal conditions of use.
- 4. State known solutions. State advantages and disadvantages of known solutions.
 - Push to open valve. Eliminates leakage. It takes two hands to operate.
 - Twist to open valve. Eliminates leakage. It takes two hands to operate.
 - "Stiff" Valve. Eliminates leakage. It does not open by sucking.

Analyze the Situation

1. The basic function of the system is to seal and dispense water.

2. Describe the system, supersystem and environment.

The system:

Cap - Case - Stem - Valve Valve Water Bottle Water Air in the bottle The supersystem: The environment: Air Customer Air

3. Analyze functions and formulate problems.

The Current Problem (Problem 1)

Water leaks through the cap under normal conditions of use because ΔP applied across the valve could be more than 0.38 psi.

Model of the harmful function.

Lets Valve \checkmark Water \Rightarrow Water Open Inside Outside (leakage)

Problem 2.

The Problem 1 is caused because the Valve is opened. Model of the harmful function.

Pressures

Water Valve ⇒ Valve Pressurized Closed Opened

The harmful function is caused because water in the bottle is pressurized by an outside force. However, the valve was designed to be opened when 0.38 psi applied across it.

The open valve causes leakage of water.

The useful functions of the valve are to open and to seal water.

1. The function of the valve is to open water.

Model of the function.

 $\begin{array}{c} Lets \\ Valve \longrightarrow & Water \implies Water \\ Open & Inside & Outside (dispensed) \end{array}$

In order to perform the function there is a need to apply suction with $\Delta P=0.38 \text{ psi}$.

2. The function of the valve is to seal water. Model of the function.

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It is also possible o say that the function of the valve is to press the wall in order to seal water.

Presses		
<i>Valve</i> >	Wall \Rightarrow Wall	
Closed		Pressed

The function is insufficient. The valve is flexible in order to open it easily. A known solution is to make it "stiffer."

List of Problems

Problem 1.

Lets Valve Øpen Vater ⇒ Water Open Inside Outside (leakage)

Problem 2.

Water	$\mathbf{}$ Valve \Rightarrow Valve)
Pressurized	Closed	Opened

Problem 2 is the proffered problem to start.



Known Solution

A "stiff" valve eliminates leakage by sealing water; however, water will be sealed even when suction is applied.

Problem 3. Conflict



Problem 2

Water	Valve \Rightarrow Valve	1
Pressurized	Closed	Opened

Direct Ways

Problem There is a harmful action on an object O T - F - O	Solution Eliminate the harmful action	Description
	T − F − 0 S _x =?	Insulate O from the harmful action by a substance-insulator S_x .
	<i>T F O F</i> _X =?	Counteract the harmful action with the opposing field F_X .
	T F O S _X =? S _X	Protect O from the harmful action by a safety substance S_X which attracts the harmful action on itself.
	T * 0 T _m =?	Modify the tool (source) of the harmful action T to turn off the harmful action.
	™ 0 _m =?	Modify O to be non sensitive to the harmful action. <i>A stiff valve.</i> <i>Problem 3.</i> <i>A stiff valve will not be opened by</i> <i>sucking.</i>
	T - ×->0	Alter the amount of the zone of the harmful action, its duration or both to decrease the harmful action or eliminate it completely. In order to reduce total amount of the harmful action we need to decrease the area of the valve exposed to water. Problem 4. A smaller area of the valve exposed to water will not be sufficient to open the valve by sucking.

According to Direct Ways, there are two solutions to Problem 2.

- A. A stiff valve. This solution causes a new problem. A stiff valve will not be opened by sucking.
- B. A smaller area of the valve is exposed to water. This solution causes a new problem. The valve will not be opened by sucking.

An attempt to solve this problem improves understanding of the physics involved.

Problem 3. Conflict

ARIZ Flow Chart



Algorithm for Inventive Problem Solving

Step 1. State the Opposite Versions of the Conflict

- Membrane
- The system for sealing and dispensing water includes a valve, water and pressurized water.

Model of the conflict.

 Water (Pressurized) ⇒ Water (Pressurized)

 Valve
 Sealed

 Stiff
 Sealed

• State the Opposite Versions of the Conflict

Conflict 1.

In order to dispense water by sucking

the membrane has to be flexible but it does not seal pressurized water.

Conflict 2.

In order to seal pressurized water

the membrane has to be stiff but it will not dispense water by sucking.



- A minimum alteration of the system has to provide dispensing water by sucking and sealing pressurized water without any complication or deterioration of the system or anything else.
- Shortcut 1. Separate Preliminary Physical Contradiction 1. The membrane has to be flexible and stiff.
 - 1. Separation in Space
 - 2. Separation in Time
 - 3. Separation Between the Components
 - 4. Separation Between the Components and the Set of the Components
 - 5. Separation Between Parameters

Separate the Physical Contradiction

The valve has to be flexible to be opened by sucking and has to be stiff in order to prevent its opening by pressurized water.



Figure 11: Water bottle cap

Separation in Time



Separation Between the Components

Two valves. Existing valve has to be flexible. A new valve has to be stiff.

Separation Between the Components and the Set of the Components

Both valves are flexible. The system of flexible valves is stiff.

Separation Between Parameters

Opening of the valve depends on the force applied to the valve.

Another way to analyze the situation is the following.

 $\begin{array}{c} Pressures\\ Sucking Air-Water & \longrightarrow & Valve \Rightarrow Valve\\ & & Opened\\ Air-Pressurized Water & & Valve \Rightarrow Valve\\ & & & Valve \Rightarrow Valve\\ & & & Opened\end{array}$

The valve is opened when $\Delta P = 0.38$ psi applied across it. Even though the tools of the functions are different, the ΔP is the same.

From this point of view the following conflict can be stated.



Algorithm for Inventive Problem Solving

Step 1. State the Opposite Versions of the Conflict

Membrane

- The system for sealing and dispensing water includes a *ya*lve and the pressure across the valve.
- Model of the conflict.



• State the Opposite Versions of the Conflict

Conflict 1.

In order to eliminate opening of the membrane (leakage) the ΔP across the membrane has to be increased, but the membrane will not be opened by sucking.

Conflict 2.

In order to open the membrane by sucking the ΔP across the membrane has to be decreased, but the membrane will be opened by pressurized water (leakage).



Step 2. State the Extreme Versions of the Conflict

Extreme Conflict 1.

If the ΔP across the membrane is 1 psi in order to eliminate opening of the membrane (leakage) completely, the membrane will not be opened by sucking.

Extreme Conflict 2.

If the ΔP across the membrane is less 0.25 psi in order to open the membrane by sucking easily, the membrane will be opened by pressurized water (leakage)



Step 3. Describe the Model of the Problem

- Conflict 2 is better for the basic function of the system.
- It is necessary to identify an *X*-resource:
- A. X-resource has to eliminate opening of the membrane by pressurized water (leakage).
- B. X-resource must not deteriorate easiness of opening the membrane by sucking.



Step 4. State the Physical Contradiction and the Ideal Final Result

• Define Macro Level Physical Contradiction.

In order to eliminate opening of the membrane by pressurized water (leakage), the membrane has to have no opening force **and** the membrane has to have an opening force in order to be opened by sucking.

• State the Ideal Final Result

During the operating time, the zone of the conflict itself has to provide *membrane* with an opening force and no opening force.

Step 5. Separate the Physical Contradiction



Figure 12: Water bottle cap

Separation in Time



Separation Between Parameters

Opening of the membrane depends on the force applied to the valve.

$F = \Delta P x Area$

In order to have opening force and no opening force having the same ΔP across the valve when sucking and when water pressurized, area of the valve exposed to pressurized water has to be smaller than the area of the valve exposed to suction.

"It is clear that through the application of TRIZ we were able to generate more concepts in two days than the contracted inventors were able to accomplish in over a year. Also the concepts and approaches we developed with your help are founded in sound engineering principles."

Larry Smeyak

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ABSTRACT

A dispensing closure assembly includes an outer closure body having an upper tubular portion which can function as a mouthpiece for users. The closure assembly includes a flexible valve member mounted within the tubular portion of the closure body for dispensing of liquids or like contents by either the application of suction by a consumer, or by squeezing the associated container. By the provision of a liquid seal lip which coacts with an inside surface of the flexible valve member, the closure assembly can be configured to facilitate convenient use by consumers, while avoiding undesirable leakage attendant to normal handling during use.

16 Claims, 11 Drawing Sheets



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Teaching TOP-TRIZ

The program includes three 40-hour courses.

- 1. Designing and Manufacturing Better Products Faster Using TRIZ.
- 2. Advanced Practice TRIZ Course.
- 3. Certified TRIZ Practitioner Course.

Designing and Manufacturing Better Products Faster Using TRIZ

- Basic concepts of TRIZ
- Analysis of a system and problem formulation
- Solving a class of problems called *Insufficient Function*
- Solving a class of problems called *Conflict*
 - Algorithm for Conflict Solving
 - Five techniques for Physical Contradiction Separation
 - Special Ways to introduce new resources without causing subsequent problems

- 40 Inventive Principles and Contradiction Matrix

- Solving a class of problems called Harmful or Unwanted Function
- Solving a class of problems called *Measurement*
- Solving a class of problems called *Revealing the Cause of a Failure*
- Accelerated development of the new generation of products and processes by applying Technological Forecast (overview)
- Concept evaluation
- Combined application of TRIZ Methods
- Solving problems brought by participants.
- Group discussion

Advanced Courses

The objective of the advanced courses is to help TRIZ users in gaining advanced level experience in applying TRIZ and confidence in working on their real-life problems and facilitation of TRIZ facilitation of teams.

Summary and Conclusions

TOP-TRIZ is a user-friendly contemporary generation of TRIZ. It is one of the most advanced and effective versions of TRIZ. It enhances your analytical thinking in problem formulation and problem solving. The power of TOP-TRIZ has been proven by solving many difficult problems.

A set of courses provides the learners of TOP-TRIZ with practical experience sufficient to achieve outstanding results.

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About the Author



Zinovy Royzen is a Master of TRIZ certified by Genrich Altshuller, the creator of TRIZ. He is the founder and President of TRIZ Consulting, Inc., Seattle, Washington, the first U.S. company to apply TRIZ, cofounder and 1998-2007 Director of Altshuller Institute for TRIZ Studies and affiliate associate professor of University of Washington, Seattle.

Royzen Zinovy has been applying TRIZ to new product development, quality improvement, cost reduction, and inventive problem solving in innovation since 1980. He has been developing and teaching TRIZ since 1984.

He has led workshops and/or provided consultation at numerous organizations, including Alcoa, Boeing Co., Eastman Kodak, Ford Motor Company, Hewlett-Packard, Illinois Tool Works, Kimberly-Clark, Lexmark International Inc., LG Electronics, Lucent Technologies Inc., NASA, Paccar, Plug Power, Philips Semiconductors Hamburg, Samsung, Siemens, Thiokol Corporation, Western Digital Corporation, Weyerhaeuser Company, and Xerox.

He is a MS in mechanical engineering, the author of many TRIZ papers and holds 27 patents.